

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.  
50041-00014In Re Application Of: **HANDSCHY ET AL.**

SEP 29 2003

Serial No.

10/067,516

Filing Date

FEBRUARY 4, 2002

Examiner

T. THOMPSON

Group Art Unit

2873

Title:

**"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE MATRIX  
LIQUID CRYSTAL IMAGE GENERATOR"**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☒ the fee set forth in 37 CFR 1.17(p).RECEIVED  
OCT -2 2003  
TECHNOLOGY CENTER 2800

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
50041-00014

In Re Application: HANDSCHY ET AL.

Serial No.

10/067,516

Filing Date

FEBRUARY 4, 2002

Examiner

T. THOMPSON

Group Art Unit

2873

"OPTICS ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE  
LIQUID CRYSTAL IMAGE GENERATOR"

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on SEPT. 23, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

TERRI BELICH

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: SEPTEMBER 23, 2003

ROBERT G. CROUCH  
REGISTRATION NO. 34,806  
MARSH FISCHMANN & BREYFOGLE LLP  
3151 S. VAUGHN WAY #411  
AURORA, COLORADO 80014  
(720) 562-5506

CC:

RECEIVED  
OCT -2 2003  
TECHNOLOGY CENTER 2800



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HANDSCHY, ET AL.

Serial No.: 10/067,516

Filed: FEBRUARY 4, 2002


Confirmation No.: 8464

Atty. File No.: 50041-00014

For: "Optics Arrangement Including Light  
Source Arrangement For An Active Matrix  
Liquid Crystal Image Generator"

) Group Art Unit: 2873

) Examiner: Timothy J. Thompson

<p>CERTIFICATE OF MAILING</p> <p>I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON SEPTEMBER 23, 2003.</p> <p>MARSH FISCHMANN &amp; BREYFOGLE LLP</p> <p>BY:  TERRI BELICH</p>
--

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicant hereby submits this Supplemental Information Disclosure Statement with a copy of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Also enclosed please find a check in the amount of \$180.00 pursuant to 37 C.F.R. §1.97(c).

10/01/2003 JBALINAN 00000133 10067516

01 FC:1806

180.00 DP

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

By: 

Robert G. Crouch

Registration No. 34,806

3151 South Vaughn Way, Suite 411

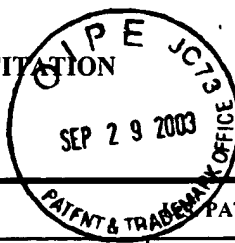
Aurora, Colorado 80014

(720) 562-5506

Date: September 23, 2003

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)  
50041-00014

Application Number  
10/067,516

Applicant(s)  
HANDSCHY ET AL

Filing Date  
FEBRUARY 4, 2002

Group Art Unit  
2873

## PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	3,697,149	10/10/72	VAN HEECKEREN ET AL	350	3.5	
	2.	4,865,427	09/12/89	KINGSTON ET AL	350	355	
	3.	5,151,718	09/29/92	NELSON	346	160	
	4.	5,285,308	02/08/94	JENKINS ET AL	359	260	
	5.	5,309,523	05/03/94	IWAKI ET AL	382	42	
	6.	5,387,953	02/07/95	MINOURA ET AL	353	20	
	7.	5,497,433	03/05/96	ITOH ET AL	382	211	
	8.	5,504,514	04/02/96	NELSON	347	130	
	9.	5,539,554	07/23/96	LEBBY ET AL	359	83	
	10.	5,580,156	12/03/96	SUZUKI ET AL	362	184	
	11.	5,612,708	03/18/97	ANSLEY ET AL	345	8	

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

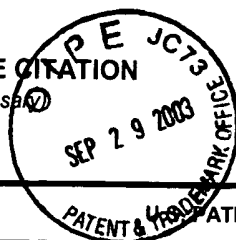

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

50041-00014

SERIAL NO.

10/067,516

HANDSCHY ET AL

FILING

FEBRUARY 4, 2003

GROUP

2873

## PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,754,344	05/19/98	FUJIYAMA	359	631	
	13.	5,808,800	09/15/98	HANDSCHY ET AL	359	630	
	14.	5,853,240	12/29/98	TANAKA ET AL	353	20	
	15.	6,008,946	12/28/99	KNOWLES	359	630	
	16.	5,568,315	10/22/96	SHUMAN	359	487	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
 OCT 22 2003  
 TECHNOLOGY CENTER 2800